

Applicant

Full Name	Date of Birth	S.I.N.	Marital Status	Children	Cell Phone	Email Address
Current Address (3 yrs total)	City / Province / Postal Code		Years	Own or Rent?	Monthly Rent / Mortgage Payment	
Previous Address	City / Province / Postal Code		Years	Reason for Move		
Current Employer (3 yrs total)	Employer Address	Position	Years	Annual Income	Work Phone	
Previous Employer	Employer Address	Position	Years	Annual Income	Work Phone	

Co-Applicant

Full Name	Date of Birth	S.I.N.	Marital Status	Children	Cell Phone	Email Address
Current Address (3 yrs total)	City / Province / Postal Code		Years	Own or Rent?	Monthly Rent / Mortgage Payment	
Previous Address	City / Province / Postal Code		Years	Reason for Move		
Current Employer (3 yrs total)	Employer Address	Position	Years	Annual Income	Work Phone	
Previous Employer	Employer Address	Position	Years	Annual Income	Work Phone	

Assets & Values: Savings / Chequing / Vehicles / Stocks / Bonds / RRSPs / GICs

Liabilities & Monthly Payments: Loans / Lines of Credit / Credit Cards

Subject Property (the property you are purchasing or refinancing)

Address	Purpose: Purchase / Renewal / Refinance / Pre-Approval / Reverse		Style: Detached / Duplex / Townhouse / Condo / Modular / Mobile			
Property Value / Purchase Price	Down Payment / Equity	Down Payment Source	Property Tax	Condo Fees	Current Payments	
Desired Amortization (years)	Stories: 1 / 2 / 3 / Split Level	Property Age	Living Area (sq ft)	Lot Size	Garage: Att/Det S/D/T	

*** Please list ALL other properties you own on another paper. Include current mortgage balance, payments, condo fees & property taxes. ***

Client Application Authorization and Consent

Mortgage Architects Inc. ("MA", "we", "us" and "our"), in accordance with our privacy policy and our obligations at law, may collect, receive, retain, use and disclose personal information about you, our client, for the purposes of: verification; assessing credit-worthiness; establishing credit limits; maintaining client relationships; presenting your mortgage application to various lenders and insurers and providing information to you about other products and services that may be of interest to you. We may also disclose your personal information to (i) any potential purchaser of our business and their advisors, (ii) any third party service providers to whom we may outsource our business functions and (iii) any parties involved in the securitization, assignment or pledge of a loan or mortgage that are obtained through us.

By signing this form, you are providing your acknowledgement and express consent to MA and our agent:

- to collect, use, retain and disclose your personal information for seven (7) years following the later of a) the date of your latest application with us, or b) the date on which your loans or mortgages we have arranged for you have expired or been terminated;
- to inquire about and receive your personal information from: consumer reporting agencies, credit bureaus, real estate appraisers, your bank(s) or other financial institutions with whom you deal, your past mortgage brokers, your present and past employers and other third parties who may have information about your financial status;
- that the approval or granting of any mortgage by a lender to you, as arranged by MA, is not to be construed or relied on by you as representing the value or condition of any underlying security or representing that you have the ability to repay the arranged mortgage debt;
- to receive commercial electronic messages and other forms of business information, communications and marketing regarding products and services that may be of interest to you;
- that MA and our agent may receive fees or incentives from a lender for arranging a mortgage or loan for you. Fees and incentives include but are not limited to money, points or goods and services. Fees and incentives may vary between lenders for similar products and may also be dependent on the amounts and types of mortgage products originated.
- that MA and our agent have not provided you with any investment advice or services and has advised you of the need for independent financial and tax planning advice from a qualified professional.

If you do not wish to receive any information or marketing from us regarding other products or services that may be of interest to you, please initial the box where indicated: No, you may not send me any information on other products or services. A copy of our privacy policy and procedures is available on our website: www.mtgarc.ca. You may also contact our privacy compliance officer at **1-877-802-9100**.

Applicant Signature

Date

Co-Applicant Signature

Date

* Please provide two pieces of ID

* Please provide two pieces of ID

An agreement between:

the Mortgage Brokerage (we)

Brokerage representative: Shawn Selanders, Mortgage Professional

and:

the Borrower(s) (you)

1. Term of Agreement

1.1 This Agreement begins on _____, 20____ at _____ a.m./p.m.
It ends on the funding of the loan, mortgage or other financing.

2. Services

2.1 We will collect your personal information to determine your identity and credit eligibility.
2.2 We will submit your financing application and other documentation to the lender.

3. Personal and confidential information

3.1 You give your consent to us to collect, maintain, use, and disclose your personal information for the purpose of this Agreement and for all uses consistent with arranging and/or renewing loans, mortgages or other financing.

3.2 You agree, we will collect your personal information from any person or source that has personal information about you. You authorize them to release your personal information to us.

3.3 You agree we can provide your personal information and financing application to each potential lender, insurer, insurance agent/broker or service provider, and they may receive this information maintain records relating to you, including your Social Insurance Number if you provide it.

3.4 You agree, each potential lender, and we can verify any information from any source for the purposes of this agreement and arranging and/or renewing loans, mortgages or other financing.

3.5 You agree we will retain your personal information for safe keeping in accordance with the Real Estate Act of Alberta, the Personal Information Protection Act (PIPA) and the Personal Information Protection and Electronic Documents Act (PIPEDA), whether or not your financing is approved or funded. You agree, we may send the application and personal information to a corporate office of the brokerage and hold the information securely with access restricted to individuals having a need to deal with the information.

3.6 We will not:

- a) give out any of your confidential information without your consent, unless required by law.
- b) use confidential information we receive from you or that we get from performing under this agreement for any other purpose than those in this agreement unless you agree in writing.

3.7 Our duty to keep your information confidential continues after this agreement ends.

3.8 You understand the use of the information is to determine your credit eligibility.

3.9 You agree we may advise

about the progress of your application.

3.10 You agree we may add your name and contact information to our client lists at the end of the term of this service agreement to tell you about our services through electronic means.

I/we agree _____ I/we do not agree _____

4. Our responsibilities

4.1 We will review your personal information and documents received to confirm your identity and your credit eligibility. If further verification is required, we will notify all parties to any potential transaction of any changes.

4.2 We may provide related financial services.

4.3 We will act as an intermediary between you and lender(s).

a) Our responsibilities to you in an intermediary relationship include:

- i. be honest;
- ii. exercise reasonable care and skill;
- iii. gather your intended property and financial information to determine the lending options available to you;
- iv. disclose and explain finance options for your consideration;
- v. complete and submit documentation to the lender; and
- vi. keep you informed of the progress of your application.

b) Our responsibilities to the lender are:

- i. be honest;
- ii. exercise reasonable care and skill;
- iii. complete and submit documentation to the lender;
- iv. disclose what steps were taken to verify information and documentation as part of the application process; and
- v. keep the lender informed of the progress of the application.

5. Your responsibilities

5.1 Communicate and cooperate with us.

5.2 Be honest

5.3 Give us personal and financial information and keep us updated on any changes.

5.4 Pay all applicable expenses for a:

- credit report
- appraisal
- Alberta Registries report
- courier charges

for this purpose of this agreement whether or not you receive approval or funding. We will

discuss these expenses with you.

5.5 Pay for any costs we incur to verify your personal information.

6. Credit Bureau Consent

6.1 You authorize us to obtain credit report(s) from Equifax, TransUnion or

7. Our fee

7.1 We will receive our fee for this mortgage transaction from the lender.

7.2 We may (will) also receive monies or non-monetary benefits from the lender that include:

additional fees based on our volume of business with the lender

our efficiency with the lender

because you are paying a higher rate than otherwise is available with this particular lender

travel/gifts

attendance at seminars or conferences

equity shares with the lender

and other:

8. Early end to this agreement

8.1 Despite the end date listed at the beginning of this agreement, the agreement ends immediately if any of these things happen:

a) we both agree in writing to an earlier end date.

b) our licence to deal in mortgages is suspended or cancelled.

c) we are bankrupt, insolvent, or we are in receivership.

d) you materially breach this agreement and we give you written notice to end it, or we materially breach this agreement and you give us written notice to end it.

If the agreement ends for any of these reasons, there will be no effect on our rights and your rights under this agreement.

9. Other details about this agreement

9.1 The laws of the Province of Alberta govern this agreement.

9.2 Words in the singular meaning may be read as plural when required by the context.

9.3 The clause numbers will change as necessary, if there are changes in this agreement.

9.4 Any future changes to this agreement must be in writing and signed by both of us to be effective.

9.5 You agree the information provided to us and the financing application is true and correct.

9.6 You acknowledge this agreement accurately sets out what both of us agree to

9.7 You acknowledge that you have read and received a copy of this Agreement.

10. Contact information

10.1 You and we may communicate and deliver documents and information to each other in person, by mail, or electronically. You and we acknowledge there are risks with each of these methods and we have explained these risks to you.

10.2 We will use the following contact information for all written communications between both

of us. Both of us must tell each other in writing within two business days of the change of this information.

BORROWER

Name:

Address:

City / Prov / Postal:

Phone:

Email:

Date signed:

Signature of Borrower

CO-BORROWER

Name:

Address:

City / Prov / Postal:

Phone:

Email:

Date signed:

Signature of Co-Borrower

BROKERAGE

Name: Shawn Selanders Phone: 403-703-6847

Address: 614 High View Park NW, High River, AB T1V 1E5

Email: Shawn@ShawnSelanders.ca

Date signed:

Signature of Brokerage Representative

Borrower Name:

Deal No.

What is your ideal mortgage?

- Low Payments Paying it off as soon as I can Low Interest Rate
 Increased Cashflow Other: _____

What mortgage term would you be comfortable with?

- 1 year 2 years 3 years 4 years 5 years Over 5 years

Please explain why you chose this term:

Completed a financial budget?

Have you completed an overall financial budget to understand your maximum monthly housing cost?

- Yes No

What type of mortgage interest rate do you believe will suit your need?

- Fixed Rate** (constant rate and payment over the length of the term)
 Variable Rate (has fixed payments like a fixed-rate mortgage, but the interest portion rises and falls with changes in prime)
 Adjustable Rate (ARM has a floating payment amount that rises and falls with changes in the prime rate)

Monthly Repayment Range

Based on your current financial status, what do you believe should be your monthly repayment toward the mortgage?

From \$ _____ to \$ _____ per month.

Do you foresee changes that will allow you to make additional mortgage prepayments?

(e.g., Annual bonus, inheritance, sale of asset or investment, etc.)

- No Yes — please explain:

Do you foresee changes in your personal circumstances that will affect your ability to make your mortgage payments?

(e.g., changes in employment, income, relocation, marital status, or other life events)

- No Yes — please explain:

I Acknowledge That:

- | | |
|---|---|
| <input type="checkbox"/> If I discharge a mortgage prior to the expiry of the term I may be subject to penalties, and that certain mortgages may be registered as a collateral charge or may be prepaid only by bona fide sale of the property, and that these penalties may include all or a portion of any cash back received at the outset and other administration fees that may be applicable. | <input type="checkbox"/> If my mortgage is a variable rate mortgage my payments and interest rate can fluctuate resulting in an increase in my mortgage payments and this will affect the balance owing at the end of the term. |
| <input type="checkbox"/> If my circumstances change prior to closing, I may not qualify for the mortgage, even if the conditions of the mortgage have been met. | <input type="checkbox"/> If my mortgage is a variable or adjustable rate mortgage, and if I "lock in" to a fixed mortgage later on, I will be locking into interest rates that are available at that time and not necessarily those available today. |
| <input type="checkbox"/> For me to port, transfer, refinance or renew a mortgage, I may be required to re-qualify at that time. | <input type="checkbox"/> The ability to obtain mortgage life and disability insurance coverage was discussed with me. |
| <input type="checkbox"/> My mortgage may not be renewed at the end of the term under the same terms and conditions. | <input type="checkbox"/> My mortgage consultant has discussed the material risks associated with this mortgage to my satisfaction and I certify that the information provided herein is accurate and truthful and will be considered current unless I notify you otherwise. |
| <input type="checkbox"/> Service fees may be applicable for some administrative services provided by the lender, such as NSF fees, changing payments and other changes. | <input type="checkbox"/> While I have completed this mortgage suitability profile questionnaire, through discussions with my mortgage consultant the mortgage product I select may be different from the answers I've provided herein. |

I/We certify that the statements made above are true and correct to the best of my knowledge. I/We understand that you will make recommendations to me/us regarding specific mortgage products and services based on the answers to the questions above, explain any and all risks associated with the mortgage loans to me/us, and use this information in part to determine the overall affordability of any mortgage loan based on my/our current lifestyle.

Borrower Signature

Date

Co-Borrower Signature

Co-Borrower Date

Mortgage Agent/Broker Section

After reviewing and assessing the borrower's current and future needs and circumstances, as well as their level of risk associated with obtaining a mortgage, the most suitable product chosen was the following:

Agent/Broker Initials: _____

This agreement sets out the terms and conditions under which you, the undersigned borrower(s), agree to retain Shawn Selanders, Mortgage Professional ("we", "us" or the "Brokerage") to facilitate your application for mortgage financing, and the terms and conditions under which the Brokerage agrees to provide services to you. For the purposes of this agreement, the term "you" or "your" shall include each of the undersigned borrower(s). During the term of this agreement, the Brokerage will assign a licensed mortgage professional to assist you with your mortgage application (the "Mortgage Professional"). You acknowledge that the Mortgage Professional is an employee or independent contractor for the Brokerage and must comply with all policies and procedures of the Brokerage as well as all regulatory requirements.

Our Responsibilities to You

In facilitating your application for mortgage financing, the Brokerage and Mortgage Professional agree to:

- represent your best interests;
- recommend a particular mortgage solution(s);
- advocate on your behalf;
- provide confidential advice;
- be honest;
- exercise reasonable care and skill;
- gather your intended property and financial information to determine the lending options available to you;
- disclose and explain appropriate finance options for your consideration;
- complete and submit documentation to the lender; and
- keep you informed of the progress of your application.

You acknowledge and understand that the Brokerage is not a lender and that the Brokerage and Mortgage Professional do not make any representations or warranties as to the amount of available financing or the terms of such financing. You acknowledge that all such financing terms will be set out in Mortgage Commitment letters issued by potential lenders.

Our Responsibilities to the Lender

In facilitating your application for mortgage financing, you acknowledge that the Brokerage and Mortgage Professional have the following obligations to potential lenders: being honest; and exercising reasonable skill and care.

Your Responsibilities

In making your application for mortgage financing, you agree to:

- be honest;
- provide us with personal and financial information and keep us updated on any changes in your financial picture;
- pay all applicable expenses related to your mortgage transaction, including but not limited to: credit report(s), appraisal(s), personal information vetting, and registries report, whether or not you receive approval or funding. All expenses to be paid by you will be discussed and approved by you.

Suitability and Risks

We will carefully match you to a lender and product we believe is to be the best fit with your financial position, life stage, and goals. Our recommendation will take into consideration the risks that you, the lender, and the Brokerage may assume, and you will be provided disclosures relating to the total cost of borrowing as well as any conflicts of interests that may exist.

Personal and Confidential Information

Your personal information and its security are important to us. Be assured you can provide your personal information knowing that we will only collect the information necessary to achieve your financing goals. We do not sell your information to a third party. Your information and documents are stored in a secure, encrypted service. All conversations and notes are kept confidential. However, if we discover illegal or fraudulent activity during a transaction, we may be required to report these findings to the appropriate authorities.

You give your consent to us to collect, maintain, use, and disclose your personal information for the purpose of this Agreement and for all uses consistent with arranging and/or renewing loans, mortgages or other financing. You agree, we will collect your personal information from any person or source that has personal information about you. You authorize them to release your personal information to us. You agree we can provide your personal information and financing application to each potential lender, insurer, insurance agent/broker or service provider, and they may receive this information maintain records relating to you, including your Social Insurance Number, if you provide it. You agree, each potential lender, and we can verify any information from any source for the purposes of this agreement and arranging and/or renewing loans, mortgages or other financing. You agree we will retain your personal information for safekeeping in accordance with applicable legislation, including the Personal Information Protection Act (PIPA) and the Personal Information Protection and Electronic Documents Act (PIPEDA), whether or not your financing is approved or funded. You agree, we may send the application and personal information to a corporate office of the Brokerage and hold the information securely with access restricted to individuals having a need to deal with the information.

We will not: a) give out any of your confidential information without your consent, unless required by law; or b) use confidential information we receive from you or that we get from performing under this agreement for any other purpose than those in this agreement unless you agree in writing. Our duty to keep your information confidential continues after this agreement ends.

Collection and Use of Information

To better understand your financial needs and make you aware of new services that could help you reach your goals, the Brokerage collects personal information from a variety of sources. Personal information collected and reasons for collection include, but are not limited to, are: data such as name, address, contact numbers, email contact, income, employment, age, net worth, investment objectives, and banking information; unique identifiers such as social insurance, driver's license, passport numbers, etc. used to fulfill regulatory and other governmental obligations to distinguish you from other clients with similar names; information from a consumer reporting agency or other source, which may include account information and/or information about your creditworthiness, used to help determine the mortgage product suitable for your needs; and to provide the services you have requested, and to help us determine how we or other non-affiliated companies may be of service to you.

The Brokerage will keep in touch via electronic messaging during your transaction as permitted by the legislation. The Brokerage will also occasionally communicate with its database via electronic messages. The content provides insightful information on mortgages, finances, insurance. As part of this engagement, you consent to the receiving of these communications for the term of my/our mortgage. You can unsubscribe from these communications at any time by notifying the Brokerage by email.

Guidelines for Disclosure of Information

- We may provide information to credit bureau agencies, financial institutions, insurers, private investors, creditor life company and our legal representatives.
- We shall use the information to determine your financial situation for purposes related to services that you have requested from us.
- We may also provide the information to others that work for us but only as needed for the provision of those services.
- If your Mortgage Professional transitions from the Brokerage to another brokerage, your information may be copied to their new brokerage upon the Mortgage Professional's request.
- We shall use your social insurance number as an aid to identify you with credit bureau agencies and financial institutions for credit history file matching purposes.
- We may store the documents you provided during this transaction with a third-party service provider.
- We will retain all documents and information collected relating to each mortgage transaction or potential mortgage transaction in which we are involved, and these files are kept a minimum of seven (7) years as per regulatory requirements.
- We will not use or disclose personal information for purposes other than those for which it was collected, except with the consent of the individual(s) or as required by law.

Credit Bureau Consent

By signing this agreement:

- you declare the information provided to the Brokerage and the Mortgage Professional is a true and complete representation;
- you confirm that you understand that it is being used to determine your credit responsibility and to evaluate your request for credit;
- you authorize the Brokerage, the Mortgage Professional or their designate to obtain a credit report;
- you further authorize potential mortgage lenders and/or mortgage insurers to obtain your credit report(s) and history anytime throughout the course of an application for financing;
- you acknowledge that the completion of a credit application may take time and may entail additional credit reports;
- you permit additional credit reports for up to 1 year (12 months) from the date signed below; and
- you authorize the Brokerage to exchange such credit information for the purpose of securing credit with potential mortgage lenders, mortgage insurers or other service providers.

Compensation

Generally, the lender pays the Brokerage for arranging your mortgage, which varies depending on the lender and the nature of the financing obtained. In certain situations, a fee may be charged to you by the Lender or Brokerage or both for arranging the financing. The fee will vary depending on the amount and nature of the financing required. Once known, we will disclose any fee(s) and obtain your approval before you enter into any financing agreement or Mortgage Commitment. Fees for services may only be paid to the Brokerage and no fees or other amounts may be paid directly to the Mortgage Professional. You may have to pay additional expenses in connection with the financing arranged, which include but are not limited to: legal fees and disbursements, title searches, title insurance, appraisal fees, mortgage processing fees, Property Transfer Taxes, provincial and federal taxes; strata document retrieval fees and such other fees as may be reasonably required in the context of completing the financing.

Limitation of Liability

To the maximum extent permitted by applicable law, neither the Brokerage nor the Mortgage Professional shall be liable to you for any indirect, incidental, special, consequential, or punitive damages, including but not limited to loss of profits, revenue, data, or use, arising out of or relating to this agreement, even if advised of the possibility of such damages. Except for claims of gross negligence or fraud, the total cumulative liability under this agreement for the Brokerage and the Mortgage Professional shall not exceed two times (2x) the fees received by the Brokerage under this agreement. Unless otherwise specified by the provincial legislation in which you reside, the Brokerage and the Mortgage Professional shall not be considered your fiduciary and shall only be obligated to provide the services as specifically set out in this agreement.

Dispute Resolution — Mandatory Arbitration

In the rare event of a dispute between you and the Brokerage (or Mortgage Professional), you agree to first attempt to resolve any dispute, controversy, or claim arising out of or relating to this agreement through good faith negotiations with the Brokerage. If the dispute is not resolved within thirty (30) days, you or the Brokerage shall submit the matter to mediation administered by a mutually agreed mediator in the province in which you reside. If mediation does not resolve the dispute, the matter shall be referred to and finally resolved by arbitration under the provincial arbitration act in the province in which you reside, with the arbitration to be conducted in the nearest city to you, by a single arbitrator. The decision of the arbitrator shall be final and binding, and judgment may be entered in any court of competent jurisdiction.

Insurance Awareness and Referrals

Our Mortgage Professional may provide you with referral options for mortgage life insurance, critical illness and disability insurance or home and auto insurance to protect you and your family. Neither the Brokerage nor the Mortgage Professional are licensed to sell insurance and will only provide you with general information about the existence of insurance products that you may want to consider. All information about insurance products, premiums, deductibles, coverage and exclusions will be provided solely by the licensed insurance companies offering such insurance. In the event you proceed with insurance, the Brokerage may receive a referral fee from the insurance provider.

Term and Termination

This Agreement begins on the date in which it is signed by you and the Brokerage and it ends on the earlier of the date of funding of the loan, mortgage or other financing; or the date on which the agreement is terminated by you or the Brokerage as set out herein. You may terminate this engagement at any time by providing us with written notice. We may terminate this engagement at any time by providing you with written notice, which may be for reasons including, but not limited to: you provide us with false, incomplete or misleading information; you ask us to perform an unethical or illegal act; you fail to cooperate with us; or we cannot find suitable financing for you despite our best efforts.

By signing below, you grant our Mortgage Advisor(s) and Brokerage permission to contact you in the future to discuss your mortgage transaction. You also provide consent under Canada's Anti-Spam Legislation for us to send you communications about the latest mortgage news, events and services we believe may be of interest to you and you acknowledge and agree that you have read, understood, and received a copy of this Client Engagement Agreement and agree to be bound by its terms.

Acknowledgements and Signatures

Client Signature

Client Name (please print)

Date

Client Signature

Client Name (please print)

Date

BORROWER POLITICALLY EXPOSED PERSON (PEP) DECLARATION

Application Number: _____

Declaration Date: _____

Borrower: _____

Broker: _____

Effective October 11, 2024, the Anti-Money Laundering (AML) and Anti-Terrorist Financing (ATF) legislation will apply to all Mortgage Brokers and Brokerages. As a result, this legislation requires that Mortgage Brokers and Brokerages obtain the following information in connection with clients who are politically exposed.

1. Have the Borrower(s), or one of their family members or close associates, Yes No ever been: (i) a Foreign Politically Exposed Person; (ii) a Domestic Politically Exposed Person; or (iii) a Head of an International Organization.

- (i) A foreign PEP is a person who holds or has held one of the following offices or positions in or on behalf of a foreign state: head of state or head of government; member of the executive council of government or member of a legislature; deputy minister or equivalent rank; ambassador, or attaché or counsellor of an ambassador; military officer with a rank of general or above; president of a state-owned company or a state-owned bank; head of a government agency; judge of a supreme court, constitutional court or other court of last resort; or leader or president of a political party represented in a legislature.
- (ii) A domestic PEP is a person who currently holds, or has held within the last 5 years, a specific office or position in or on behalf of the Canadian federal government, a Canadian provincial (or territorial) government, or a Canadian municipal government. Specifically, the person has held the office or position of: Governor General, lieutenant governor or head of government; member of the Senate or House of Commons or member of a legislature; deputy minister or equivalent rank; ambassador, or attaché or counsellor of an ambassador; military officer with a rank of general or above; president of a corporation that is wholly owned directly by His Majesty in right of Canada or a province; head of a government agency; judge of an appellate court in a province, the Federal Court of Appeal or the Supreme Court of Canada; leader or president of a political party represented in a legislature; or mayor, reeve or other similar chief officer of a municipal or local government
- (iii) A HIO is a person who currently holds or has held within the last 5 years the specific office or position of head of an international organization and the international organization that they head or were head of is either: an international organization established by the governments of states; an institution of an organization referred to in 1 above; or an international sports organization.

2. If you answered YES to question 1, please specify: Foreign PEP Domestic PEP

3. Name of person who is/was politically exposed _____

4. Organization or Institution Name _____

5. Country where position is/was held _____

6. Time period the position is/was held _____

7. What position is/was held by the person who is/was politically exposed domestically or in a foreign country? _____

8. Title of Position Held _____

9. What is the relationship of the person named above to the Registered Owner? _____

10. The source of funds that have been, will be, or are expected to be deposited into this account is as follows: _____

Client

Broker Name